Peize Liu St. Peter's College University of Oxford

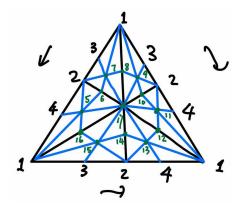
Problem Sheet 4
A5: Topology

# Question 1

- (1) Show that the Dunce hat can be triangulated.
- (2) Show that the following subspace of  $\mathbb{R}^2$  cannot be triangulated:

$$\{(x,y): 0 \le y \le 1, \ x = 0 \text{ or } 1/n \text{ for some } n \in \mathbb{N}\} \cup ([0,1] \times \{0\})$$

*Proof.* (1) The Dunce hat is a finite CW complex so it can be triangulated. The triangulation can be obtained by repeating barycentric subdivision until the space becomes a simplicial complex. A triangulation (which is not the simplest) of the Dunce hat is as follows:



(2) Step 1: An infinite simplicial complex is not compact.

Suppose that  $K = (V, \Sigma)$  is an abstract simplicial complex and |K| is its topological realization. For  $v \in V$ , by Lemma 4.18 in the notes,  $\operatorname{st}_K(v)$  is an open set in K that contains a unique vertex v. If V is infinite, then  $\{\operatorname{st}_K(v)\}_{v \in V}$  is an infinite open cover of K with no finite subcover. Hence K is not compact.

Step 2: A finite simplicial complex is locally connected.

Let  $K=(V,\Sigma)$  be a finite simplicial complex. By Proposition 4.22 in the notes, there is a (continuous) embedding  $\iota:|K|\hookrightarrow\mathbb{R}^n$  for n=|V|, in which every vertex is mapped to a unit vector in  $\mathbb{R}^n$ . Notice that  $\{B(\boldsymbol{x},\varepsilon):\ \boldsymbol{x}\in\mathbb{R}^n,\ \varepsilon>0\}$  is a topological basis of  $\mathbb{R}^n$ . Then the preimage  $\{B(\boldsymbol{x},\varepsilon)\cap|K|:\ \boldsymbol{x}\in\mathbb{R}^n,\ \varepsilon>0\}$  is a basis of |K| under the subset topology.

For  $x \in |K|$  and open set U of |K| with  $x \in U$ , there exists  $x_0 \in \mathbb{R}^n$  and  $\varepsilon > 0$  such that  $x \in B(x_0, \varepsilon) \cap |K| \subseteq U$ . Let  $0 < \delta < \|x - x_0\|$ . Then  $x \in B(x, \delta) \cap |K| \subseteq U$ . Let  $0 < \eta < \min\{x_1, ..., x_n, \delta\}$ . We claim that for any simplex  $\sigma \in \Sigma$ ,  $|\sigma| \cap B(x, \eta) \neq \emptyset$  if and only if  $x \in |\sigma|$ .

Suppose that  $\sigma = \{e_{i_1}, ..., e_{i_m}\}$ . Then  $|\sigma|$  lies in the subspace  $\operatorname{span}\{e_{i_1}, ..., e_{i_m}\}$ . If  $x \notin |\sigma|$ , then  $x \notin \operatorname{span}\{e_{i_1}, ..., e_{i_m}\}$  and  $\operatorname{dist}(x, \operatorname{span}\{e_{i_1}, ..., e_{i_m}\}) = \min\{x_{i_1}, ..., x_{i_m}\} > \eta$ .

For  $\sigma \in \Sigma$  with  $\boldsymbol{x} \in |\sigma|$ ,  $|\sigma|$  and  $B(\boldsymbol{x}, \varepsilon)$  are both convex. Hence  $B(\boldsymbol{x}, \eta) \cap |\sigma|$  is convex and is connected. In particular  $B(\boldsymbol{x}, \eta) \cap |K|$  has a unique connected component.  $B(\boldsymbol{x}, \eta) \cap |K| \subseteq U$  is connected.

Step 3: *The given subspace is compact and is not locally connected.* 

Let S be the given subspace in the question. Notice that the sequence  $\{1/n\}_{n\in\mathbb{Z}_+}$  has a unique limit point 0. Hence  $\{0\}\cup\{1/n:n\in\mathbb{Z}_+\}$  is closed. The product set  $(\{0\}\cup\{1/n:n\in\mathbb{Z}_+\})\times[0,1]$  is also closed. S is the union of it with  $0,1]\times\{0\}$  so S is closed. Obviously S is bounded. By Heine-Borel Theorem S is compact.

Consider the open ball B centred at (0,0) with radius 1/2. For any open subset B' of B,  $B' \cap S$  is disconnected, Hence S is not locally connected.

The three steps together lead to the conclusion that S cannot be triangulated.

# Question 2

Let K be a simplicial complex (that need not be finite). Prove that |K| is Hausdorff.

*Proof.* For each  $\sigma \in K$ , we consider the standard n-simplex  $\Delta_{\sigma}^{n}$ . Let L be the disjoint union of all  $\Delta_{\sigma}^{n}$ . Then |K| is a quotient space of L. Let  $\pi : L \twoheadrightarrow |K|$  be the canonical projection. By Proposition 3.21 in the notes, |K| is Hausdorff if and only if any two distinct equivalence classes of L are contained in two disjoint open saturated sets.

By Lemma 4.12, any point in |K| is contained in the inside of a unique simplex. For  $[x], [y] \in |K|$ , there exists  $x, y \in L$  and unique simplices  $\Delta_x, \Delta_y \subseteq L$  such that  $x \in \operatorname{int}(\Delta_x^m)$  and  $y \in \operatorname{int}(\Delta_y^n)$ .  $(\pi(x) = [x], \pi(y) = [y])$  Without loss of generality suppose that  $m \leqslant n$ . We shall construct an ascending chain of open neighbourhoods of x and of y which are disjoint and saturated. We use induction on the dimension.

Let  $\Sigma_r$  be the disjoint union of all simplices in K with dimension  $\leq r$ . For r = 0,  $[x] \cap \Sigma_0 = \{x\}$  if m = 0 or  $[x] \cap \Sigma_0 = \emptyset$  if m > 0. Clearly  $[x] \cap \Sigma_0$  and  $[y] \cap \Sigma_0$  are disjoint because x and y are distinct.

Suppose that we have constructed disjoint saturated open sets  $U_r, V_r$  such that  $[x] \cap \Sigma_r \subseteq U_r$  and  $[y] \cap \Sigma_r \subseteq V_r$ . And  $\pi(U_i) \subseteq \pi(U_{i+1}), \pi(V_i) \subseteq \pi(V_{i+1})$  for  $0 \le i < r$ . For each  $\Delta_{\sigma}^{r+1}$ , let

$$I_{\sigma} := \{ z \in \Delta_{\sigma}^{r+1} : \pi(z) \in \pi(U_r) \}$$
  $J_{\sigma} := \{ z \in \Delta_{\sigma}^{r+1} : \pi(z) \in \pi(V_r) \}$ 

A careful choice of  $U_r$  and  $V_r$  may let us assume that  $\overline{U_r} \cap \overline{V_r} = \varnothing$ . Then  $\overline{I_\sigma}$  and  $\overline{J_\sigma}$  are separated. Since  $\Delta_\sigma^{r+1}$  is T4, there exists disjoint open sets  $M_\sigma, N_\sigma$  such that  $I_\sigma \subseteq M_\sigma$  and  $J_\sigma \subseteq N_\sigma$ . Let  $U_{r+1} = \bigcup_\sigma M_\sigma \cup U_r$  and  $V_{r+1} = \bigcup_\sigma N_\sigma \cup V_r$ . Clearly  $U_{r+1}$  and  $V_{r+1}$  are disjoint, which completes the induction.

Finally, let  $U = \bigcup_{r=0}^{\infty} U_r$  and  $V = \bigcup_{r=0}^{\infty} V_r$ . U and V are disjoint saturated open neighbourhoods of [x] and [y] respectively. Hence |K| is Hausdorff.

### **Question 3**

Let  $X_1, X_2$  be disjoint copies of  $\mathbb{R}^2$ . We define an equivalence relation  $\sim$  on  $Y = X_1 \sqcup X_2$  by:

$$(x_1, y_1) \in X_1 \sim (x_2, y_2) \in X_2 \iff x_1 = x_2, y_1 = y_2, (x_1, y_1), (x_2, y_2) \neq (0, 0)$$

Show that every point in  $Y/\sim$  is contained in an open set homeomorphic to an open subset of  $\mathbb{R}^2$  but  $Y/\sim$  is not a surface.

*Proof.* For simplicity we write  $Y = \mathbb{R}^2 \times \{0,1\}$ . For  $[(x,y)] \in Y/\sim$  with  $(x,y) \neq (0,0)$ , there exists an open neighbourhood U of (x,y) such that  $(0,0) \notin U$ . Let  $\pi: Y \twoheadrightarrow Y/\sim$  be the canonical projection and  $\pi'(x,y) = \pi(x,y,0)$ .  $\pi'(U)$  is an open neighbourhood of [(x,y)] because  $\pi^{-1}(\pi'(U)) = U \times \{0,1\}$  is open in Y. We claim that  $\pi'(U)$  is homeomorphic to U. Clearly  $\pi'|_U: U \to \pi(U)$  is a continuous bijection. For an open subset  $V \subseteq U$ ,  $\pi'(V)$  is open for the similar reason that  $\pi'(U)$  is open. In particular,  $\pi'|_U$  is an open mapping and is a homeomorphism.

The only singleton equivalence classes in  $Y/\sim$  are  $\{(0,0,0)\}$  and  $\{(0,0,1)\}$ . It suffices to consider one of them. Let U be an open neighbourhood of  $\{(0,0,0)\}$  because

$$\pi^{-1}(\pi'(U)) = (U \times \{0\}) \cup ((U \setminus \{(0,0)\}) \times \{1\})$$

is the union of two open sets. Similarly we have  $\pi'(U)$  is homeomorphic to U. Hence we have proven the first half of the statement in the question.

Since  $\mathbb{R}^2 \setminus \{(0,0)\}$  is not closed, from Question 5.(1).(b) we know that the quotient space  $Y/\sim$  is not Hausdorff. Hence  $Y/\sim$  is not a surface.

# **Question 4**

Find an example of a connected, finite, simplicial complex K that is not a closed combinatorial surface, but that satisfies the following three conditions:

- (1) It contains only 0-simplices, 1-simplices and 2-simplices.
- (2) Every 1-simplex is a face of precisely two 2-simplices.
- (3) Every point of |K| lies in a 2-simplex.

*Proof.* We define an abstract simplicial complex  $K = (V, \Sigma)$  by:

$$\begin{split} V &= \{1,2,3,4,5,6,7\} \\ \Sigma &= \{\{1,2\},\{1,3\},\{1,4\},\{2,3\},\{2,4\},\{3,4\},\{4,5\},\{4,6\},\{4,7\},\{5,6\},\{5,7\},\{6,7\},\\ \{1,2,3\},\{1,3,4\},\{1,2,4\},\{2,3,4\},\{4,5,6\},\{4,5,7\},\{4,6,7\},\{5,6,7\}\} \end{split}$$

In other words, K is two tetrahedrons gluing together at one vertex.

It is immediate that all three conditions are satisfied. However, |K| is not a closed combinatorial surface. We observe that the link  $link_K(4) = (V', \Sigma')$ , where:

$$V' = \{1, 2, 3, 5, 6, 7\}$$
  
$$\Sigma' = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{5, 6\}, \{5, 7\}, \{6, 7\}\}$$

 $\Sigma'$  is the union of two disjoint simplicial circles. Then |K| cannot be a closed combinatorial surface.

### **Question 5**

A simple closed curve C in a space X is the image of a continuous injection  $S^1 \to X$ . Find simple closed curves  $C_1$ ,  $C_2$  and  $C_3$  in the Klein bottle K such that

- (1)  $K \setminus C_1$  has one component, which is homeomorphic to an open annulus  $S^1 \times (0,1)$ .
- (2)  $K \setminus C_2$  has one component, which is homeomorphic to an open Möbius band.
- (3)  $K \setminus C_3$  has two components, each of which is homeomorphic to an open Möbius band.

*Proof.* The Klein bottle K is defined by the unit square  $A = [0,1]^2$  with side identifications  $(0,y) \sim (1,y)$  and  $(x,0) \sim (1-x,1)$ . Let  $\pi: A \to K$  be the projection.

(1) Define  $\gamma_1:[0,1]\to A$  by  $\gamma_1(t)=(t,1/2)$ . It is easy to see that  $C_1:=\pi\circ\gamma_1([0,1])$  is a simple closed curve on K as (0,1/2) and (1,1/2) are identified on K.

 $K \setminus C_1$  is path-connected, since for any  $x \in A \setminus \gamma_1([0,1])$ , there is a straight line in A from x to one of (0,0), (0,1), (1,0), and (1,1), which are identified on K.

Let  $\sigma_1: A \setminus \gamma_1([0,1]) \to S^1 \times (0,1)$  be given by

$$\sigma_1(x,y) = \begin{cases} \left(e^{2\pi i x}, y + 1/2\right) & 0 \le y < 1/2\\ \left(e^{2\pi i (1-x)}, y - 1/2\right) & 1/2 < y \le 1 \end{cases}$$

Clearly A is compact and  $S^1 \times (0,1)$  is Hausdorff. The equivalence classes on  $A \setminus \gamma_1([0,1])$  coincides with the partition  $\{\sigma_1^{-1}(\alpha) : \alpha \in S^1 \times (0,1)\}$ . By Proposition 3.11,  $K \setminus C_1$  and  $S^1 \times (0,1)$  are homeomorphic.

(2) Define  $\gamma_2:[0,1]\to A$  by  $\gamma_1(t)=(1/2,t)$ . It is easy to see that  $C_2:=\pi\circ\gamma_2([0,1])$  is a simple closed curve on K as (1/2,0) and (1/2,1) are identified on K.

 $K \setminus C_2$  is path-connected for the same reason as above.

Let  $\sigma_2: A \setminus \gamma_2([0,1]) \to (0,1) \times [0,1]$  be given by

$$\sigma_2(x,y) = \begin{cases} (x+1/2,y) & 0 \le x < 1/2\\ (x-1/2,y) & 1/2 < x \le 1 \end{cases}$$

The equivalence classes on  $A \setminus \gamma_2([0,1])$  coincides with the equivalence classes on  $(0,1) \times [0,1]$  induced by the Möbius band. By Proposition 3.11,  $K \setminus C_2$  is homeomorphic to an open Möbius band.

(3) Define  $\gamma_3:[0,1]\to A$  by

$$\gamma_3(t) = \begin{cases} (1/3, 2t) & 0 \leqslant t \leqslant 1/2\\ (2/3, 2t - 1) & 1/2 \leqslant t \leqslant 1 \end{cases}$$

 $C_3 := \pi \circ \gamma_3([0,1])$  is a simple closed curve on K because (1/3,1) is identified with (2/3,0) and (2/3,1) is identified with (1/3,0).

 $K \setminus C_3$  has two connected components. This is obvious in intuition but very hard to argue rigorously.

Define  $\sigma_3: A \setminus \gamma_3([0,1]) \to (0,1) \times [0,1]$ 

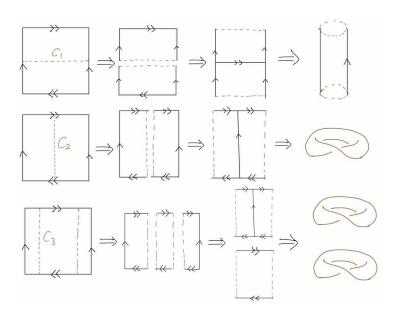
$$\sigma_3(x,y) = \begin{cases} \left(\frac{3}{2}x + \frac{1}{2}, y\right) & 0 \le x < 1/3\\ \left(\frac{3}{2}x - 1, y\right) & 2/3 < x \le 1 \end{cases}$$

and  $\theta_3: A \setminus \gamma_3([0,1]) \to (0,1) \times [0,1]$ 

$$\theta_3(x,y) = (3x-1,y)$$
  $1/3 < x < 2/3$ 

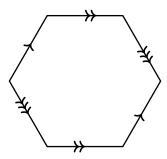
By universal property of quotient space,  $\sigma_3$  and  $\theta_3$  induces continuous maps  $\tilde{\sigma}_3$  and  $\tilde{\theta}_3$  from components of  $K \setminus C_3$  to  $(0,1) \times [0,1]$ . Let  $p:(0,1) \times [0,1] \to S$  be the projection of the square onto a Möbius band.  $p \circ \tilde{\sigma}_3$  and  $p \circ \tilde{\theta}_3$  maps two components of  $K \setminus C_3$  to two open Möbius bands respectively.

#### **Remark.** The geometric visualization of the constructions above is as follows:



# **Question 6**

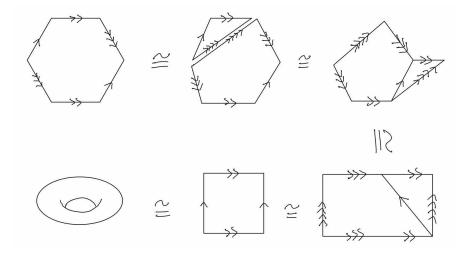
The following polygon with side identifications is homeomorphic to which surface?



*Proof.* The surface S is obtained by first attaching three 1-cells onto two 0-cells, then one 2-cells onto it by sending  $S^1$  to the path  $xyzx^{-1}y^{-1}z^{-1}$ . Hence the fundamental group of the surface is given by  $\pi_1(S) = \langle x, y, z \mid xyzx^{-1}y^{-1}z^{-1} \rangle$ . We can perform a sequence of Tietze transformations:

Hence  $\pi_1(S) = \pi_1(M_1)$ . By Proposition 5.17, S is a closed combinatorial surface. By the classification theorem of closed surfaces, S is homeomorphic to one of  $M_g$  or  $N_h$ . As no two of  $M_g$  or  $N_h$  have the same fundamental group, we conclude that  $S \cong M_1$ . S is homeomorphic to the torus.

**Remark.** Geometrically we can visualize the transformations above as follows:



# **Question 7**

Suppose that the sphere  $S^2$  is given the structure of a closed combinatorial surface. Let C be a sub-complex that is a simplicial circle. Suppose that  $S^2 \setminus C$  has two components. Indeed, suppose that this is true for every simplicial circle in  $S^2$ . Let E be one of these components.

Our aim is to show that  $\overline{E}$  is homeomorphic to a disc. This is a version of the *Jordan curve theorem*.

We will prove this by induction on the number of 2-simplices in  $\overline{E}$ . Our actual inductive hypothesis is: *there is a homeomorphism from*  $\overline{E}$  *to*  $D^2$ , *which takes* C *to the boundary circle*  $\partial D^2$ .

- (1) Let  $\sigma_1$  be a 1-simplex in C. Since  $S^2$  is a closed combinatorial surface,  $\sigma_1$  is adjacent to two 2-simplices. Show that precisely one of these 2-simplices lies in  $\overline{E}$ . Call this 2-simplex  $\sigma_2$ .
- (2) Start the induction by showing that if  $\overline{E}$  contains at most one 2-simplex, then  $\overline{E} = \sigma_2$ .
- (3) Let v be the vertex of  $\sigma_2$  not lying in  $\sigma_1$ . Suppose that v does not lie in C. Show how to construct a sub-complex C' of  $S^2$ , that is a simplicial circle, and that has the following properties:
  - $S^2 \backslash C'$  has two components;
  - one of these components *F* is a subset of *E*;
  - $\overline{F}$  contains fewer 2-simplices than  $\overline{E}$ .

Show in this case that there is a homeomorphism from  $\overline{E}$  to  $D^2$ , which takes C to the boundary circle  $\partial D^2$ .

- (4) Suppose now that v lies in C. How do we complete the proof in this case?
- *Proof.* (1) We shall first prove that every component of  $S^2 \setminus C$  contains the inside of at least one 2-simplex. We choose  $x \in E$ . By Lemma 5.16.(3), x is in some 2-complex  $\rho$ , whose inside is in E. The same thing holds for the other connected component.

Let  $\rho_1, \rho_2, ..., \rho_k$  be the 1-simplices (in order) on C. Let  $\eta_i, \lambda_i$  be the 2-simplices adjacent to  $\rho_i$ . Suppose for contradiction that  $\eta_1, \lambda_1 \in \overline{E}$ . We claim that  $\eta_1, ..., \eta_n, \lambda_1, ..., \lambda_n \in \overline{E}$ . This is because the vertices of every  $\eta_i$  is connected to those of  $\eta_1$  by an edge path by connectivity of  $\overline{E}$ , and similar for  $\lambda_i$ . Let G be the other connected component. We know that  $\overline{G}$  contains a 2-simplex  $\alpha$ . The vertices of  $\alpha$  is connected to the vertices on C by an edge path by connectivity of  $\overline{G}$ . Then there is a 2-simplex adjacent to some  $\rho_i$  and is contained in  $\overline{G}$ . Contradiction. Similarly we can prove that it cannot be the case that  $\eta_1, \lambda_1 \in \overline{G}$ .

- (2) Trivial. A 2-simplex is homeomorphic to a disc.
- (3) We can add v into the simplicial circle C and remove the 1-simplex  $\sigma_1$ . By doing this we obtain a component F such that  $\overline{F}$  contains one 2-simplex fewer than  $\overline{E}$ , because we have taken  $\sigma_2$  into the other component when modifying C. By induction hypothesis there is a homeomorphism  $\varphi:\overline{F}\to D^2$  such that  $\varphi(C')=\partial D^2$ . Note that  $D^2$  is homeomorphic to a standard 2-simplex. If we attach a 2-simplex onto another 2-simplex by identifying two faces of them, then the result simplicial complex is still homeomorphic to  $D^2$ . Then we can say that  $\overline{E}$  is homeomorphic to  $D^2$  with boundary being sent to  $\partial D^2$ .
- (4) If C contains only 3 vertices, then there is nothing to prove. Suppose that C have more then 3 vertices. Let  $\sigma_1 = a_1, a_2$  and  $\sigma_2 = \{a_1, a_2, v\}$ . If  $a_1, a_2, v$  is the order of these vertices on C, then we add  $\{a_1, v\}$  to the simplicial circle and remove all vertices between  $a_1$  and v. By doing this we obtain a component F such that  $\overline{F}$  contains fewer 2-simplices than  $\overline{E}$ . The situation is similar to part (3) except that we will attach a 2-simplex onto another 2-simplex by identifying one face of them. The result simplicial complex is also a disc.