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# Problem Sheet 3 C2.6: Introduction to Schemes

# Question 1. Mostly topology, but useful

- i) (Warm-up lemma) Let X be a topological space. Check that  $\forall$  topological subspace  $Y \subseteq X$ :
  - Y is irreducible  $\implies Y$  is connected
  - Y is irreducible  $\implies \overline{Y}$  is irreducible
  - ullet Y is an irreducible component  $\implies$  Y is closed and connected

[Recall that irreducible component means irreducible and maximal with respect to inclusion.]

ii) Suppose X has finitely many irreducible components  $X_i$ .

We say that " $X_k$  can be reached from  $X_l$ " if  $X_k \cap X_{i_1} \neq \emptyset, X_{i_1} \cap X_{i_2} \neq \emptyset, \dots, X_{i_n} \cap X_l \neq \emptyset$  for some  $X_{i_r}$ 

Prove that X is connected  $\iff$  any irreducible component can be reached from any other.

iii) A topological space is **Noetherian** if it satisfies the descending chain condition for closed sets:  $C_1 \supseteq C_2 \supseteq C_3 \supseteq \cdots \implies C_N = C_{N+1} = \cdots$  for some N.

Prove that a Noetherian topological space has finitely many irreducible components, each containing an open dense set  $\neq \emptyset$ .

iv) Prove that R is a Noetherian ring  $\implies$  Spec R is a Noetherian topological space. (so for a Noetherian scheme every affine open is Noetherian topological space)

Check that the converse fails for  $k[x_1, x_2, x_3, \cdots] / (x_1, x_2^2, x_3^3, \ldots)$ .

- v) Prove that X is a Noetherian topological space  $\iff$  every topological subspace of X is quasi-compact (so for a Noetherian scheme X all subspaces are quasi-compact, not just X.)
- vi) Prove that X is a Noetherian scheme  $\implies$  X is a Noetherian topological space.
- *Proof.* i) Suppose that Y is connected. Then  $Y = Y_1 \sqcup Y_2$  for non-empty  $Y_1$  and  $Y_2$  clopen in Y. Then by definition Y is reducible.
  - Suppose that  $\overline{Y}$  is reducible. Then  $\overline{Y} = Y_1 \cup Y_2$  for non-empty  $Y_1$ ,  $Y_2$  closed in  $\overline{Y}$ . Then  $Y = (Y \cap Y_1) \cup (Y \cap Y_2)$ . By the definition of subspace topology, both  $Y \cap Y_1$  and  $Y \cap Y_2$  are closed in Y. Moreover they are non-empty. Suppose that  $Y \cap Y_1 = \emptyset$ . Then  $Y \subseteq Y_2$  and hence  $\overline{Y} \subseteq Y_2 \subsetneq \overline{Y}$ . This is a contradiction. We deduce that Y is reducible.
  - If Y is irreducible, by the previous result  $\overline{Y}$  is irreducible. In particular if Y is an irreducible component then it is closed. We have shown that it is conencted.
  - ii) If X is disconnected, then it is clear that an irreducible component cannot reach another irreducible component in another connected component.

Conversely, suppose that  $X_1$  and  $X_2$  are two irreducible components of X that cannot be reacher from each other. Let

 $U_i := \bigcup \{X : X \text{ irreducible component that can be reached from } X_i\}$ 

We claim that  $U_1 \cup U_2$  is not connected. This is clear, as  $U_i$  is non-empty and closed in X, and  $U_1 \cap U_2 = \emptyset$ . Hence X is disconnected.

iii) Suppose that X is a Noetherian topological space. If  $\{X_i\}_{i\in\mathbb{N}}$  is an infinitely set of irreducible components of X, then

$$Y_{1} \supseteq Y_{2} \supseteq Y_{3} \supseteq \cdots$$

$$Y_{n} \vee X_{n} \vee X_{n} \vee X_{n} \vee X_{n} \vee X_{n} \vee X_{n} \wedge X_{n} \vee X_{n} \wedge X_{n} \wedge$$

is a strictly descending chain of closed subspaces of X, where  $Y_k = \bigcup_{i=0}^k X_i$ . It follows that X has finitely many irreducible components.

Let  $X_1,...,X_N$  be the irreducible components of X. Let  $Z_1:=X\setminus (\bigcup_{n=2}^N X_n)\subseteq X_1$ . Then  $Z_1$  is open and non-empty. If  $Z_1$  is not dense in  $X_1$ , then  $X_1=\overline{Z}_1\cup (X_1\setminus Z_1)$  is reducible, which is contradictory. Hence  $X_1$  contains a non-empty open dense subset. It is similar for  $X_2,...,X_N$ .

iv) Suppose that  $\operatorname{Spec} R$  is not a Noetherian topological space. Then there is a strictly descending chain of closed subsets:

$$\mathbb{V}(\mathfrak{p}_1) \supseteq \mathbb{V}(\mathfrak{p}_2) \supseteq \mathbb{V}(\mathfrak{p}_3) \supseteq \cdots$$

Then we have an ascending chain of ideals of R:

$$\mathfrak{p}_1 \subsetneq \mathfrak{p}_2 \subsetneq \mathfrak{p}_3 \subsetneq \cdots$$

Hence R is not Noetherian.

Let  $R := k[x_1, x_2, x_3, ...] / \langle x_1, x_2^2, x_3^3, ... \rangle$ . We note that R is not Noetherian, because it has a strictly ascending chain of ideals

$$\langle x_2 \rangle \subsetneq \langle x_2, x_3 \rangle \subsetneq \langle x_2, x_3, x_4 \rangle \subsetneq \cdots$$

However, we shall prove that Spec R is a Noetherian topological space. Suppose that  $\mathfrak{p} \in \operatorname{Spec} R$ . Note that  $x_n$  is nilpotent for all  $n \in \mathbb{N}$ . Then  $x_n \in \operatorname{Nil}(R) \subseteq \mathfrak{p}$  for all  $n \in \mathbb{N}$ . Hence  $\langle x_2, x_3, ... \rangle \subseteq \mathfrak{p}$ . But  $\langle x_2, x_3, ... \rangle$  is maximal. We deduce that  $\mathfrak{p} = \langle x_2, x_3, ... \rangle$  and  $\operatorname{Spec} R = \{\langle x_2, x_3, ... \rangle\}$ . Since  $\operatorname{Spec} R$  is a finite set, trivially it is a Noetherian topological space.

v) Suppose that X is not a Noetherian topological space. There is a strictly descending chain of closed subsets:

$$Y_1 \supseteq Y_2 \supseteq Y_3 \supseteq \cdots$$

which corresponds to a strictly ascending chain og open subsets:

$$Y_1^c \subsetneq Y_2^c \subsetneq Y_3^c \subsetneq \cdots$$

Let  $Y := \bigcup_{n=1}^{\infty} Y_n^c$ . Then  $\{Y_n^c\}_{n=1}^{\infty}$  is an open cover of Y with no finite subcover. Hence Y is not compact.

Conversely, suppose that X has a subspace Y which is not compact. Let  $\{Y_i\}_{i\in I}$  be an open cover of Y with no finite subcover. We construct a sequence  $\{Y_{i_n}\}_{n\in\mathbb{N}}$  inductively as follows. First pick arbitrary  $i_0 \in I$ . Given  $\{Y_{i_0}, ..., Y_{i_k}\}$ , since this does not cover Y, we can find  $i_{k+1} \in I$  such that  $Y_{i_{k+1}} \not\subseteq \bigcup_{j=1}^k Y_{i_j}$ . So we have a strictly ascending chain

$$Y_{i_1} \subsetneq Y_{i_2} \subsetneq Y_{i_3} \subsetneq \cdots$$

Each  $Y_{i_n}$  is open in Y, so  $Y_{i_n} = Y \cap X_n$  for some  $X_n$  open in X. Then

$$X_1 \subsetneq X_2 \subsetneq X_3 \subsetneq \cdots$$

and hence

$$X_1^c \supseteq X_2^c \supseteq X_3^c \supseteq \cdots$$

This is a strictly descending chain of closed subsets. We deduce that X is not a Noetherian topological space.

vi) Suppose that X is a Noetherian scheme. Let  $\{U_1, ..., U_m\}$  be an affine open cover of X.  $U_i \cong \operatorname{Spec} R_i$  for some Noetherian ring  $R_i$ . By (iv),  $U_i$  is a Noetherian topological space. Let  $Y \subseteq X$  be a subscheme. Then  $Y \cap U_i \subseteq U_i$ . By (v),  $Y \cap U_i$  is compact. Then  $Y = \bigcup_{i=1}^m (Y \cap U_i)$  is compact. It follows that every subspace of X is compact. By (v), X is a Noetherian topological space.

### Question 2

- i) Check that  $\mathbb{A}^2_k = \operatorname{Spec} k[x,y]$  is a variety (k is an algbraically closed field) [Recall that a variety is a scheme which is integral, separated, finite type over  $\operatorname{Spec} k$ .]
- ii) Show that the open subscheme A<sub>k</sub><sup>2</sup> \ {0} is a variety which is not affine.
   [Hint. You may assume as known that being "finitely generated as a k-algebra" is affine-local: see notes Sec 3.2.]
- iii) Show that a variety which is affine (being the spectrum of a ring) is an **affine variety**, i.e. isomorphic to an integral closed subscheme of  $\mathbb{A}^n_k$  for some n.
- iv) Prove that  $(X, \mathcal{O}_X)$  is a variety  $\implies X$  is a Noetherian scheme.
- v) Glue two copies of  $\mathbb{A}^1_k = \operatorname{Spec} k[x]$  along the basic open set  $\mathbb{A}^1_k \setminus \{0\} = D_x = \operatorname{Spec} k\left[x, x^{-1}\right]$  by the isomorphism  $\operatorname{Spec} k\left[s, s^{-1}\right] \cong \operatorname{Spec} k\left[t, t^{-1}\right]$  given by  $s \mapsto t$ . Show that the glued scheme is not separated. (compare notes Sec 5.3.)

[Hint: "equiliser"]

vi) Let  $(X, \mathcal{O}_X)$  be a variety, and  $Z \subseteq X$  is an irreducible subspace.

[Remark. Irreducibility is not vital if we allow varieties to be reducible.]

In notes Sec 5.5 you find the definition of what it means tor Z to be **locally closed** subscheme of X and how we construct a canonical induced reduced scheme structure  $\mathcal{O}_Z$ .

- Prove that Z is locally closed  $\implies$   $(Z, \mathcal{O}_Z)$  variety. [Hint. 2(iv), 1(vi), 1(v) may help.]
- If you define  $\mathcal{O}_Z$  as suggested in Sec 5.5 for  $Z \subseteq X$  irreducible subspace, prove that  $(Z, \mathcal{O}_Z)$  variety  $\implies Z \subseteq X$  is locally closed

Suggestion. First reduce to affine case  $Z = \operatorname{Spec} S, X = \operatorname{Spec} R$  by picking  $\operatorname{Spec} R \subseteq X$  of type open  $\cap$  closed. Now we want to find an open set in Z such that the generating global sections over k come from sections on open  $\subseteq X$ . At the end, you may need to check  $\operatorname{Spec} S \cap \operatorname{Spec} R_f = \operatorname{Spec} S_f$   $(S_f = S \otimes_R R_f \text{ via } \varphi^\# : R \to S)$ 

### *Proof.* i) Let us unwrap the definitions.

- $(X, \mathcal{O}_X)$  is an integral scheme if  $\mathcal{O}_X(U)$  is an integral domain for all open  $U \subseteq X$ . In Question 3 of Sheet 2, we have proven that Spec R is an integral scheme if and only if R is an integral domain. Since k[x, y] is an integral domain,  $\mathbb{A}^2_k$  is an integral scheme.
- X is separated over k, if the canonical morphism  $f: X \to \operatorname{Spec} k$  is separated, which means that the diagonal map  $\Delta: X \to X \times_{\operatorname{Spec} k} X$  is a closed immersion. A closed immersion  $f: X \to Y$  is a morphism which is an isomorphism onto a closed subscheme  $Z \subseteq Y$ . A closed subscheme  $Z \subseteq Y$  is a closed subset such that  $j_*\mathcal{O}_Z \cong \mathcal{O}_Y/J$  for some quasi-coherent sheaf of ideals J on Y. A sheaf of ideals J is quasi-coherent if J is exhibited as the kernel of  $\mathcal{O}_Y \to j_*\mathcal{O}_Z$ , where  $j: Z \to Y$  is the inclusion.

In this case  $X = \mathbb{A}^2_k$  is affine. So  $\Delta : X \to X \times_{\operatorname{Spec} k} X$  is induced by the k-algebra homomorphism  $\varphi : k[x,y] \otimes_k k[x,y] \to k$  given by  $f \otimes g \mapsto fg$ .  $\varphi$  is surjective with

$$\ker \varphi = \langle f \otimes 1 - 1 \otimes f \colon f \in k[x,y] \rangle$$

Then  $\Delta_{X/k} = \operatorname{im} \operatorname{Spec} \varphi = \mathbb{V}(\ker \varphi) \subseteq X \times_{\operatorname{Spec} k} X$ . As  $\mathbb{V}(\ker \varphi)$  is a closed affine subset of the affine scheme  $X \times_{\operatorname{Spec} k} X$ , it is cannonically a closed subscheme, because the ideal sheaf  $\mathcal{O}_{\ker \varphi}$  is quasi-coherent. Moreover,  $\Delta$  is an isomorphism onto  $\mathbb{V}(\ker \varphi)$ . Hence  $X = \mathbb{A}^2_k$  is separated

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over k. The same method shows that the morphism  $\operatorname{Spec} \alpha \colon \operatorname{Spec} S \to \operatorname{Spec} S$  induced by the monomorphism  $\alpha \colon S \to R$  is always separated. (The same method shows that the morphism  $\operatorname{Spec} A \to \operatorname{Spec} S$ 

• X is of finite type over k, if the canonical morphism  $f: X \to \operatorname{Spec} k$  is of finite type, which means that the morphism is both quasi-compact and locally of finite type.  $f: X \to \operatorname{Spec} k$  is quasi-compact if the pre-images of all affine open sets are quasi-compact.  $f: X \to \operatorname{Spec} k$  is locally of finite type if for all affine open  $U \subseteq X$  and  $V \subseteq \operatorname{Spec} k$  with  $f(U) \subseteq V$ , the ring homomorphism  $f^{\#}: \mathcal{O}_{\operatorname{Spec} k}(V) \to \mathcal{O}_X(U)$  is of finite type. In the lectures we have seen that for f being locally of finite type, it suffices to take any affine open cover.

In the case  $X = \mathbb{A}^2_k$ ,  $f: \operatorname{Spec} X \to \operatorname{Spec} k$  is induced by the inclusion  $\iota: k \hookrightarrow k[x,y]$ . Note that  $\operatorname{Spec} k$  is a singleton as a set, and k[x,y] is quasi-compact, so f is trivially quasi-compact. Both X and  $\operatorname{Spec} k$  are affine, and the map  $\iota^{\#}$  on  $\operatorname{Spec} k$  is exactly  $\iota$ . We know that k[x,y] is a finitely generated k-algebra. So X is finite type over k.

In summary,  $\mathbb{A}^2_k$  is an integral, separated, fintie type scheme over k. This proves that  $\mathbb{A}^2_k$  is a variety.

- ii) We claim that an irreducible open subscheme Y of a variety X is also a variety.
  - We have seen in the lectures that being a reduced ring is a stalk-local property. So an open subscheme of a reduced scheme is also reduced. Then an irreducible open subscheme of X is integral by Sheet 2.
  - By a remark in the notes, an open subscheme of a separated scheme over k is also separated over k.
  - Since X is of finite type over k, X is quasi-compact. Let  $\{X_1, ..., X_n\}$  be an affine open cover of X. Let  $Y_i := Y \cap X_i$ . So  $Y_i$  is an open subscheme of the affine scheme  $X_i \cong \operatorname{Spec} R_i$ , where  $R_i$  is of finite type over k. Then  $Y_i$  has an open cover  $\{D_{f_1}, ..., D_{f_m}\}$  for some  $f_1, ..., f_m \in R_i$ . Each  $D_{f_j} \cong \operatorname{Spec}(R_i)_{f_j}$ , where  $(R_i)_{f_j}$  is of finite type over k. We have seen in the lectures that being a finitely generated k-algebra is an affine-local property. Therefore  $Y_i$  is locally of finite type. But also  $Y = \bigcup_{i=1}^n Y_i$ , so Y is also locally of finite type.

Finally, Y is a finite union of some affine open subsets, which are quasi-compact. Therefore Y is also quasi-compact. Hence Y is of finite type over k.

This concludes the proof of the claim.

Since  $\mathbb{A}^2_k \setminus \{0\}$  is an open subscheme of  $\mathbb{A}^2_k$ , it is a variety. We shall prove that  $Y := \mathbb{A}^2_k \setminus \{0\}$  is not affine by proving that  $\mathcal{O}_Y(Y) = k[x,y]$  (which is in fact proven in C3.4 Algebraic Geometry).

We note that  $\mathbb{A}^2_k \setminus \{0\} = D_x \cup D_y$  for  $x, y \in k[x, y]$ . To see this, we simply have

$$\mathfrak{p} \in D_x \cup D_y \iff x \notin \mathfrak{p} \vee y \notin \mathfrak{p} \iff \mathfrak{p} \neq \langle x, y \rangle \iff \mathfrak{p} \in \mathbb{A}^2_k \setminus \{0\}.$$

We have  $\mathcal{O}_{\mathbb{A}^2_k}(D_x) = k[x,y]_x = k[x,y,x^{-1}]$  and  $\mathcal{O}_{\mathbb{A}^2_k}(D_y) = k[x,y]_y = k[x,y,y^{-1}]$ . By uniqueness of the sheaf, we must have

$$\mathcal{O}_{\mathbb{A}^2_k\setminus\{0\}}(\mathbb{A}^2_k\setminus\{0\}) = \mathcal{O}_{\mathbb{A}^2_k}(\mathbb{A}^2_k\setminus\{0\}) = \mathcal{O}_{\mathbb{A}^2_k}(D_x)\cap\mathcal{O}_{\mathbb{A}^2_k}(D_y) = k[x,y]$$

If  $\mathbb{A}^2_k \setminus \{0\}$  is affine, then  $\mathbb{A}^2_k \setminus \{0\} \cong \operatorname{Spec} k[x,y] = \mathbb{A}^2_k$ , which is impossible. Hence  $\mathbb{A}^2_k \setminus \{0\}$  is not an affine variety.

iii) Suppose that  $X = \operatorname{Spec} R$  is a variety. By definition, we know that R is of finite type over k. There exists a surjection  $\varphi : k[x_1, ..., x_n] \to R$ . Then  $\operatorname{Spec} \varphi : X \to \mathbb{A}^n_k$  is a closed immersion by definition. Hence X is isomorphic to an closed subscheme of  $\mathbb{A}^n_k$ . Since X is a variety, X is integral. We deduce that X is an affine variety.

iv) Since X is a variety, X is quasi-compact. So we only need to show that X is locally Noetherian, which is an affine-local property. For affine open set  $U \subseteq X$  such that  $U \cong \operatorname{Spec} R$ , we know that R is a of finite type over k. We have a short exact sequence of k-algebras

$$0 \longrightarrow \ker \varphi \longrightarrow k[x_1, ..., x_n] \xrightarrow{\varphi} R \longrightarrow 0$$

Since  $k[x_1,...,x_n]$  is Noetherian by Hilbert basis theorem, so is R. We conclude that X is a Noetherian scheme

v) Let  $X := \mathbb{A}^1 \cup_{\mathbb{A}^1 \setminus \{0\}} \mathbb{A}^1$  be the glued scheme. Suppose that X is separated. We look at the two affine open sets  $U_1$ ,  $U_2$  in X isomorphic to  $\mathbb{A}^1 = \operatorname{Spec} k[x]$ , their intersection is  $U_1 \cap U_2 \cong \mathbb{A}^1 \setminus \{0\} = \operatorname{Spec} k[x, x^{-1}]$ . By Question 3.(iv) (or a claim in the notes), the multiplication map

$$m: \mathcal{O}_X(U_1) \otimes_k \mathcal{O}_X(U_2) \to \mathcal{O}_X(U_1 \cap U_2)$$

is surjective. In fact m is the k-algebra homomorphism  $m: k[x] \otimes_k k[x] \to k[x, x^{-1}]$ , which is clearly not surjective because  $x^{-1} \notin \operatorname{im} m$ . Hence X is not separated.

• Suppose that Z is locally closed. We know that Z is open in  $\overline{Z}$ . We claim that the unique induced reduce subscheme structure on  $\overline{Z} \subseteq X$  makes  $\overline{Z}$  a subvariety of X. Then it follows from (ii) that Z is a variety.

## Question 3

Let  $f: X \to B$  be a morphism of schemes.

i) f is called an **immersion** (or locally closed immersion) if f is the composition  $X \to U \to B$ , where  $X \to U$  is a closed immersion and  $U \to B$  is an open immersion.

Show that an immersion is a closed immersion  $\iff f(X) \subseteq B$  closed set.

[Hint. For  $\iff$ : glue the ideal sheaf of  $X \xrightarrow{\varphi} U$  with  $\mathcal{O}_X|_{B \setminus \varphi(X)}$ , and check the quasi-coherence.]

- ii) Show that  $\Delta_{X/B} \subseteq X \times_B X$  is closed if B and X affine (notation of notes Sec 5.3)
- iii) Show that  $\Delta_{X/B}$  is an immersion.

[Hence f is separated  $\iff \Delta_{X/B}$  is a closed immersion  $\iff \Delta_{X/B}$  is a closed set.]

- iv) We say that  $U, V \subseteq X$  are "nice" if  $U, V, U \cap V$  are affine open sets and  $\mathcal{O}_X(U) \otimes_{\mathbb{Z}} \mathcal{O}_X(V) \to \mathcal{O}_X(U \cap V)$  is surjective.
  - Suppose f is separated. Prove that for all affine open  $U, V \subseteq X$  such that f(U), f(V) are contained in an affine open subset of B, U, V are nice.
  - Suppose that there exists an open cover  $X = \bigcup U_i$  such that for all  $x, y \in X$  with f(x) = f(y), there are nice  $U_i, U_j$  with  $x \in U_i, y \in U_j$  and  $f(U_i), f(U_j)$  are subsets of an affine open set of B. Prove that f is separated.

[For  $B = \operatorname{Spec} k$ :  $(\exists open \ cover \ X = \bigcup U_i, \ all \ U_i, U_j \ nice) \implies (f \ separated) \implies (all \ affine \ opens \ U, V \ are \ nice)]$ 

v) Show that  $\mathbb{P}^n_k$  is separated using (iv) (k any field). Deduce that  $\mathbb{P}^n_k$  is a variety.

Show that **projective varieties** (integral closed subschemes of  $\mathbb{P}^n_k$ ) and **quasi-projective varieties** (irreducible open subschemes of a projective variety) are varieties.

*Proof.* i) We propose the follow lemma:

A morphism  $f: (X, \mathcal{O}_X) \to (Y, \mathcal{O}_Y)$  is a closed immersion if and only if f is a homeomorphism onto the closed subset  $f(X) \subseteq Y$  and  $f_x^{\#}: \mathcal{O}_{Y, f(x)} \to \mathcal{O}_{X, x}$  is surjective for all  $x \in X$ .

Given this lemma, the proof of (i) is straightforward. "  $\Longrightarrow$  " is just the definition of a closed immersion. For "  $\Leftarrow$  ", since f is an immersion we have  $f_x^\# = \psi_{\varphi(x)}^\# \circ \varphi_x^\#$ , where  $\psi_{\varphi(x)}^\# : \mathcal{O}_{B,f(x)} \to \mathcal{O}_{U,\varphi(x)}$  is an isomorphism, and  $\varphi_x^\# : \mathcal{O}_{U,\varphi(x)} \to \mathcal{O}_{X,x}$  is a surjection. Hence  $f_x^\#$  is surjective for all  $x \in X$ . By the lemma we deduce that f is a closed immersion.

- ii) Suppose that  $X \cong \operatorname{Spec} R$  and  $B \cong \operatorname{Spec} A$  for some rings R and A. Then the map  $\Delta: X \to X \times_B X$  is induced from the A-algebra homomorphism  $\varphi: R \otimes_A R \to R$  given by  $r \otimes s \mapsto rs$ . So  $\Delta = \operatorname{Spec} \varphi: \operatorname{Spec} R \to \operatorname{Spec}(R \otimes_A R)$ . We claim that  $\Delta_{X/B} = \mathbb{V}(\ker \varphi) = \mathbb{V}(\langle r \otimes 1 1 \otimes r \colon r \in R \rangle)$ . This is immediate from that  $R \cong (R \otimes_A R)/\ker \varphi$ . So  $\Delta_{X/B}$  is closed in  $\operatorname{Spec}(R \otimes_A R) \cong X \times_B X$ . Moreover, the morphism  $\Delta$  is a closed immersion.
- iii) Let  $\{U_i\}_{i\in I}$  be an affine cover of X. (With possible refinement of this cover) for each  $U_i$ , let  $V_i$  be an affine open of B such that  $f(U_i) \subseteq V_i$ . Then we know that each  $U_i \times_{V_i} U_i$  is affine open in  $X \times_B X$ . Let  $Y := \bigcup_{i \in I} U_i \times_{V_i} U_i$ . Then there is a canonical open immersion  $Y \to X \times_B X$ . It is clear that  $\Delta$  maps X into Y. We need to show that this is a closed immersion. But by (ii) we already know that  $\Delta_{U_i/V_i} : U_i \to U_i \times_{V_i} U_i$  is a closed immersion, and that  $\{U_i \times_{V_i} U_i\}_{i \in I}$  is an affine open cover for Y. By the notes we deduce that  $\Delta : X \to Y$  is a closed immersion. Hence  $\Delta : X \to X \times_B X$  is an immersion.
- iv) Let  $f: X \to B$  be separated. Suppose that  $U \cong \operatorname{Spec} R$  and  $V \cong \operatorname{Spec} S$ . Suppose that  $f(U), f(V) \subseteq C$ , where  $C \cong \operatorname{Spec} A$  is affine open in B. Then  $U \times_B V \cong U \times_C V$  is affine in  $X \times_B X$ . In particular, we have

$$\mathcal{O}_{X \times_B X}(U \times_B V) \cong \mathcal{O}_X(U) \otimes_A \mathcal{O}_X(V) \cong R \otimes_A S$$

On the other hand, we note that  $U \cap V = \Delta_{X/B}^{-1}(U \times_B V)$ . Since  $\Delta_{X/B}$  is a closed immersion, we have

$$U\cap V\cong \Delta_{X/B}(U\cap V)=\Delta_{X/B}(\Delta_{X/B}^{-1}(U\times_B V))=\Delta_{X/B}(X)\cap (U\times_B V)$$

Since  $\Delta_{X/B}(X)$  is closed in  $X \times_B X$ , then  $U \cap V$  is isomorphic to a closed subset of  $U \times_B V$ . Since  $U \times_B V \cong \operatorname{Spec}(R \otimes_A S)$  is affine,  $U \cap V$  is also affine, and we have  $U \cap V \cong \operatorname{Spec}((R \otimes_A S)/I)$  for some ideal I of  $R \otimes_A S$ . In particular we have a surjective A-algebra homomorphism

$$\mathcal{O}_{X\times_B X}(U\times_B V) \to \mathcal{O}_X(U\cap V)$$

Finally, since A is naturally a  $\mathbb{Z}$ -algebra (i.e. a ring), we have the canonical surjective ring homomorphism

$$\mathcal{O}_X(U) \otimes_{\mathbb{Z}} \mathcal{O}_X(V) \to \mathcal{O}_X(U) \otimes_A \mathcal{O}_X(V)$$

Composing the maps above we obtain a surjective ring homomorphism

$$\mathcal{O}_X(U) \otimes_{\mathbb{Z}} \mathcal{O}_X(V) \to \mathcal{O}_X(U \cap V)$$

Therefore U, V are nice.

• Since for each  $U_i$  and  $U_j$  there exists an affine open C of B such that  $f(U_i), f(U_j) \subseteq C$ , then  $U_i \times_B U_j \cong U_i \times_C U_j$  is affine open in  $X \times_B X$ .  $X \times_B X$  has an affine cover  $\{U_i \times_B U_j\}_{i,j \in I}$  by the given assumption. Note that  $\Delta_{X/B}^{-1}(U_i \times_B U_j) = U_i \cap U_j$ . Since  $U_i, U_j$  are nice, we have a surjection

$$\mathcal{O}_X(U_i) \otimes_{\mathbb{Z}} \mathcal{O}_X(U_j) \to \mathcal{O}_X(U_i \cap U_j)$$

If  $C \cong \operatorname{Spec} A$ , then the above map is A-bilinear, and hence factors through  $\mathcal{O}_X(U_i) \otimes_A \mathcal{O}_X(U_j) \cong$ 

 $\mathcal{O}_{X\times_B X}(U_i\times_B U_j)$ . Hence we have a surjection  $\mathcal{O}_{X\times_B X}(U_i\times_B U_j)\to \mathcal{O}_X(U_i\cap U_j)$ . Hence  $\Delta_{X/B}:U_i\cap U_j\to U_i\times_B U_j$  is a closed immersion. By the notes we conclude that  $\Delta_{X/B}:X\to X\times_B X$  is a closed immersion.

v) Recall from Question 1 of Sheet 2 that  $\mathbb{P}_k^n = \bigcup_{i=0}^n U_i$  where each  $U_i \cong \mathbb{A}_k^n = \operatorname{Spec} k[x_1, ..., x_n]$ . The pairwise intersection  $U_i \cap U_j \cong \operatorname{Spec} R_{ij}$ , where  $R_{ij}$  is the 0th grading of the ring of fractions  $S^{-1}k[x_0, ..., x_n]$ , S is the multiplicative set generated by  $x_i, x_j$ . Next we look at the multiplication homomorphism between the global sections of affine sets:

$$\varphi: \mathcal{O}_X(U_i) \otimes_k \mathcal{O}_X(U_j) \to \mathcal{O}_X(U_i \cap U_j)$$

Recall that  $\mathcal{O}_X(U_i) = R_i = k\left[\frac{x_0}{x_i},...,\frac{\widehat{x_i}}{x_i},...,\frac{x_n}{x_i}\right]$  and  $\mathcal{O}_X(U_i \cap U_j) = R_{ij} = R_i\left[\frac{x_i}{x_j}\right]$ . Note that  $x_i/x_j \in R_j$ . Every element in  $R_{ij}$  takes the form  $\sum_{m=0}^{\ell} a_m(x_i/x_j)^m$  for  $a_m \in R_i$ . Then

$$\sum_{m=0}^{\ell} a_m (x_i/x_j)^m = \varphi \left( \sum_{m=0}^{\ell} a_m \otimes (x_i/x_j)^m \right)$$

So  $\varphi$  is surjective. We deduce that  $\{U_0, ..., U_n\}$  is an open cover of  $\mathbb{P}^n_k$  which is pairwise "nice". Using the notation from (iv),  $B = \operatorname{Spec} k$  is a singleton. The conditions on the nice affine open cover are satisfied trivially. Hence  $\mathbb{P}^n_k$  is separated over k.

Checking the remaining conditions is easy. We define  $\mathbb{P}^n_k$  by gluing finitely many copies of  $\mathbb{A}^n_k$ . Since  $\mathbb{A}^n_k$  is quasi-compact, reduced, and locally of finite type, so is  $\mathbb{P}^n_k$ . It remains to check that  $\mathbb{P}^n_k$  is irreducible. In fact we have the following topological fact:

Suppose that X has an open cover  $\{U_i\}_{i\in I}$  of irreducible spaces such that  $U_i \cap U_j \neq \emptyset$  for all  $i, j \in I$ . Then X is irreducible.

Suppose that X is reducible. There are non-empty open sets V, W such that  $V \cap W = \emptyset$ . We may assume that  $U_i \cap V \neq \emptyset$  and  $U_j \cap W \neq \emptyset$ . Note that

$$U_i\cap U_j\cap V\cap W=(U_i\cap U_j\cap V)\cap (U_j\cap W)=(U_i\cap V)\cap (U_i\cap U_j)\cap (U_j\cap W)$$

Since  $U_i$  is irreducible, we have  $(U_i \cap V) \cap (U_i \cap U_j) \neq \emptyset$ ; since  $U_j$  is irreducible, we have  $(U_i \cap U_j \cap V) \cap (U_j \cap W) \neq \emptyset$ . This contradicts that  $V \cap W = \emptyset$ . Hence X is irreducible.

Now since each  $U_i \cong \mathbb{A}^n_k$  in  $\mathbb{P}^n_k$  is irreducible, and  $U_i \cap U_j$  is non-empty, we deduce that  $\mathbb{P}^n_k$  is irreducible. This finishes the proof that  $\mathbb{P}^n_k$  is a variety.

vi) Let  $X \subseteq \mathbb{P}^n_k$  be a projective variety. By definition it is an integral closed subscheme of  $\mathbb{P}^n_k$ . So it is quasi-compact and locally of finite type. Hence  $\mathbb{P}^n_k$  is of finite type over k. We need to prove that X is separated. More generally, we would like to prove that

A closed subscheme X of a separated scheme Y (over any base scheme B) is separated. By (iii) it suffices to show that  $\Delta_{X/B}(X)$  is closed in  $X \times_B X$ . This follows from that

$$\Delta_{X/B}(X) = \Delta_{Y/B}(Y) \cap (X \times_B X)$$

and that  $\Delta_{Y/B}(Y)$  is closed in  $Y \times_B Y$ .

We conclude that a projective variety is a variety.

For a quasi-projective variety, since it is an irreducible open scheme of a projective variety, by Question 2.(ii), it is also a variety.

### Question 4

Fact.  $\mathbb{P}^n_k$  is complete (i.e. proper over k). In this exercise we work over an algebraically closed field k.

- i) In notes, we showed that  $\mathbb{A}^1$  is not complete because  $\mathbb{A}^1 \times \mathbb{A}^1 \supseteq \mathbb{V}(xy-1) \to \mathbb{A}^1$  fails the **universally closed** condition. Why is this not a problem for  $\mathbb{P}^1$  if consider  $\mathbb{P}^1 \times \mathbb{A}^1 \to \mathbb{A}^1$ ?
- ii) Let  $C \subseteq X$  be a closed subscheme. Prove that X is complete  $\Longrightarrow C$  is complete.

[Campare in topology: a closed subset of a compact space is compact]

[So the fact at the beginning implies also that all projective vorieties are complete.]

iii) Let  $f: X \to Y$  be a morphism of schemes, where X is universally closed and Y is separated (*Hint. graph*). Show that im  $f \subseteq Y$  (use  $f_*\mathcal{O}_X$  on im f to get scheme) is closed and universally closed

[Compare topology: the image of a continuous map from a compact space to a Hausdorff space is closed and compact.]

iv) Let X be a complete variety. Show that  $s \in \Gamma(X, \mathcal{O}_X)$  constant.

[Hint.  $\Gamma(X, \mathcal{O}_X) = \operatorname{Mor}(X, \mathbb{A}^1)$  see Sec 2.3 notes.]

v) Deduce that affine varieties ( $\neq$  point,  $\varnothing$ ) are never complete, and that the only global sections of a projective voriety X are constant morphisms  $X \to \mathbb{A}^1$ .

*Proof.* Throughout the question,  $X \times_k Y$  is short for  $X \times_{\operatorname{Spec} k} Y$ .

- i) The universally closed condition does not fail because  $\mathbb{V}(xy-1)\subseteq\mathbb{A}^1_k\times_k\mathbb{A}^1_k$  is closed in  $\mathbb{A}^1_k\times_k\mathbb{A}^1_k$  but not in  $\mathbb{P}^1_k\times_k\mathbb{A}^1_k$ . Yes, and it is closed  $\mathbb{V}(x_0y^{-\infty}_1)$  projects onto  $\mathbb{A}^1$  with  $\mathbb{A}^1\setminus\mathbb{D}$
- ii) Suppose that X is a complete variety. We need to prove that  $C \to \operatorname{Spec} k$  is universally closed. Let  $g: Y \to \operatorname{Spec} k$  be any morphism. Since X is universally closed, we know that  $f: X \to \operatorname{Spec} k$  is closed, and we have the commutative diagram as below, where  $\widetilde{f}: X \times_k Y \to Y$  is closed.

$$\begin{array}{ccc} X \times_k Y & \xrightarrow{\pi} & X \\ \widetilde{f} \downarrow & & \downarrow f \\ Y & \xrightarrow{g} & \operatorname{Spec} k \end{array}$$

Let  $i: C \to X$  be the closed immersion. The projection  $C \times_k Y \to C$  factors through  $X \times_k Y$  via the closed immersion  $j: C \times_k Y \to X \times_k Y$  and the projection  $\pi: X \times_k Y \to X$ . We have the commutative diagram:

$$C \times_k Y \xrightarrow{j} X \times_k Y \xrightarrow{\pi} X$$

$$\widetilde{f} \downarrow \qquad \qquad \downarrow f$$

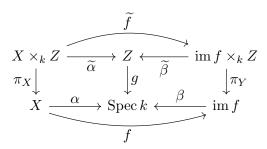
$$\widetilde{f} \circ j \qquad \qquad Y \xrightarrow{g} \operatorname{Spec} k$$

Since  $f: X \to \operatorname{Spec} k$  is closed, the induced map  $C \to \operatorname{Spec} k$  is also closed. The composite map  $\widetilde{f} \circ j$  is closed because both  $\widetilde{f}$  and j are closed. The diagram implies that C is universally closed. Hence C is a complete subvariety of X.

iii) First we prove that im f is closed. Let  $\Gamma_f: X \to X \times_k Y$  be the graph of  $f: X \to Y$ .  $f: X \to Y$  factors as  $X \xrightarrow{\Gamma_f} X \times_k Y \xrightarrow{\pi} Y$ . Since Y is separated, a claim from the notes shows that  $\Gamma_f$  is a closed immersion. In particular  $\Gamma_f(X) \subseteq X \times_k Y$  is closed. Since X is universally closed,  $\pi: X \times_k Y \to Y$  is closed. Then im  $f = \pi \circ \Gamma_f(X)$  is closed.

Next we prove that im f is universally closed over k (for this part I think the separatedness of Y is

unnecessary). Let  $\alpha: X \to \operatorname{Spec} k$  and  $\beta: \operatorname{im} f \to \operatorname{Spec} k$  be the morphisms. Let  $g: Z \to \operatorname{Spec} k$  be any morphism. We look at the commutative diagram of base changes:



 $f: X \to \operatorname{im} f$  is surjective, then so is  $\widetilde{f}: X \times_k Z \to \operatorname{im} f \times_k Z$ . Let  $C \subseteq \operatorname{im} f \times_k Z$  be a closed subset. Then  $\widetilde{\beta}(C) = \widetilde{\alpha}(\widetilde{f}^{-1}(C))$  is closed because  $\widetilde{f}$  is surjective and continuous, and  $\alpha$  is closed. Hence  $\widetilde{\beta}$  is a closed map. We deduce that im f is universally closed over k.

iv) We know that  $\mathbb{A}^1_k = \operatorname{Spec} k[x]$ . From Example 1 of Section 2.3 of the notes, we have a bijection  $\operatorname{Mor}(X,\mathbb{A}^1_k) \longleftrightarrow \operatorname{Hom}_k(k[x],\mathcal{O}_X(X)) \stackrel{\downarrow}{\cong} \mathcal{O}_X(X)$ 

$$\operatorname{Mor}(X, \mathbb{A}^1_k) \longleftrightarrow \operatorname{Hom}_k(k[x], \mathcal{O}_X(X)) \cong \mathcal{O}_X(X)$$

Since X is complete, it is universally closed. We know that  $\mathbb{A}^1_k$  is separated. Then for any morphism  $f: X \to \mathbb{A}^1_k$ , by (iii) im f is closed and universally closed in  $\mathbb{A}^1_k$ . Since X is irreducible, so is im f. Then we find that im  $f = \mathbb{V}(x-a)$  for some  $a \in k$  (this is a singleton on the affine line). Hence  $\Gamma(X, \mathcal{O}_X) = \mathcal{O}_X(X) \cong k$ . The global sections are constant morphisms on X.

v) Suppose that  $Y \subseteq \mathbb{A}^n_k$  is an affine variety with card (Y) > 1. We take two distinct closed points  $\boldsymbol{a} = \mathbb{V}(\langle x_1 - a_1, ..., x_n - a_n \rangle)$  and  $\boldsymbol{b} = \mathbb{V}(\langle x_1 - b_1, ..., x_n - b_n \rangle)$  in Y. We may assume that  $a_i \neq b_i$  for some i. Then  $x_i \in \mathcal{O}_Y(Y)$  is a non-constant global section. By (iv), Y is not complete.

Suppose that X is a projective variety. We claim that X is complete. Since X is an integral closed subscheme of some  $\mathbb{P}_k^n$ , by (ii) it suffices to prove that  $\mathbb{P}_k^n$  is complete. (I don't know if this proof is for examinable. It is not shown in the notes. In Hartshorne this follows from Theorem II.4.9, which is a corollary of the valuation criterion of properness. So I choose not to go into details here...) Now by (iv), we know that the global sections of X are constant morphisms.

### Question 5

Note that any "commutative diagram" in a category C can be thought of as a functor  $F: I \to C$  where the objects of I are the positions i in the diagram (where you place some object  $F(i) = C_i \in C$ ), the morphisms of I are the arrows of the diagram (together with all identity morphs  $i \rightarrow i$  and composites)

- i) What is the functor of points interpretation of  $\underline{\underline{\lim}}$ ,  $\underline{\underline{\lim}}$ ? (Hint. for  $\underline{\lim}$  consider  $I^{op}$  and  $h^*$  not  $h_*$ )
- ii) Explain briefly why the product, fibre product, gluing of sheaves are limits, and the coproduct, pushout, gluing of schemes are colimits (e.g. every scheme =  $\lim$  of its affine opens)
- iii) Suppose f, g are adjoint functors. Show that left adjoints commute with colimits, right adjoints commute with limits.